



UNITED STATES PATENT AND TRADEMARK OFFICE



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/877,394	06/07/2001	Michael Holmstrom	229.008

CONFIRMATION NO. 7718

FORMALITIES LETTER



OC00000006399775

David D. Stein
Suite 1030
250 E. Wisconsin Avenue
Milwaukee, WI 53202

Date Mailed: 08/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$40.
 - \$40 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 460.**

*A copy of this notice **MUST** be returned with the reply.*

SA

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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

10/10/2001 MBERHE 00000088 09877394

01 FC:101
02 FC:105
03 FC:102

740.00 OP
130.00 OP
84.00 OP



3 SEC-111

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/877,394
	Filing Date	06/07/01
	First Named Inventor	Michael Holmström
	Group Art Unit	1734
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	230.008

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard Declaration and Power of Attorney
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	David D. Stein, Reg. No. 40,828 Boyle Fredrickson Newholm Stein & Gratz, S.C. 250 East Wisconsin Avenue, Suite 1030 Milwaukee, WI 53202
Signature	<i>David D. Stein</i>
Date	OCTOBER 2, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 10/02/01			
Type or printed name	Christine Kierzek		
Signature	<i>Christine Kierzek</i>	Date	10/2/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

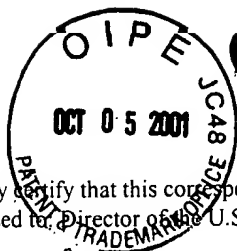


FREE TRANSMITTAL for FY 2000 <i>Patent fees are subject to annual revision, Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 AND 1.28</i>		Complete if Known	
		Application Number	09/877,394
		Filing Date	06/07/2001
		First Named Inventor	Michael Holmström
		Examiner Name	
		Group/Art Unit	1734
		Attorney Docket No.	230.008
TOTAL AMOUNT OF PAYMENT		\$994.00	

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																																			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 50-1170 Deposit Account Name: BOYLE, FREDRICKSON, NEWHOLM, STEIN & GRATZ S.C. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. ADDITIONAL FEES																																																																																																																																																																																																			
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Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		<table border="1"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th>Fee Description</th><th>Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th><th></th><th></th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr><tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td colspan="2"></td><td colspan="3">Other fee (specify) _____</td><td></td></tr><tr><td colspan="2"></td><td colspan="3">Other fee (specify) _____</td><td></td></tr><tr><td colspan="2"></td><td colspan="3">*Reduced by Basic Filing Fee Paid</td><td></td></tr><tr><td colspan="2"></td><td colspan="3">SUBTOTAL (3)</td><td>\$170.00</td></tr></tbody></table>				Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)			105	130	205	65	Surcharge - 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	David D. Stein	Registration No. (Attorney/Agent)	40,828
Signature	<i>David D. Stein</i>	Telephone	(414) 275-9755
		Date	10/2/2001

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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Director of the U.S. Patent & Trademark Office, Washington, DC 20231 on the date indicated below.

Christine Kierzek
Christine Kierzek

Date: 10/2/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Michael Holmström
Serial No. 09/877,394
Filed: June 7, 2001
Assignee: EFTEC Europe Holding AG (a large entity)
For: DEVICE AND METHOD FOR SPRAY EXTRUSION
Attorney Docket No. 230.008

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Director of the U.S. Patent
and Trademark Office
Box Missing Parts
Washington, D.C. 20231

Sir:

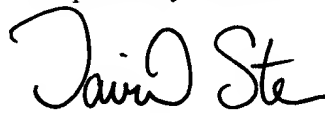
Please note that the applicant in the above-identified application is a large entity. The undersigned filed the application with the understanding that the applicant was a small entity. Thereafter, upon subsequent examination of the instruction letter from the applicant's representative, the undersigned contacted the applicant's representative and requested they investigate the status of the applicant, EFTEC Europe Holding AG. Follow-up correspondence from the applicant's representative clarified that, as a result of their investigation, the applicant does not qualify as a small entity. As a result, the applicant hereby respectfully requests that its status be changed to a large entity. Accordingly, the enclosed filing fee, extra claims fee, and fee for late filing of the declaration are all remitted in the amount for a large entity.

In response to the Notice to File Missing Parts of Application, Filing Date Granted,
mailed August 8, 2001 in the above-captioned application, applicant submits the following:

- 1) Notice to File Missing Parts of Application;
- 2) A Fee Transmittal Form PTO/SB/17 (12/99);
- 3) A check in the amount of \$994.00 which includes \$130.00 for payment of the government fee for late filing of applicants' Declaration and Power of Attorney for a large entity and \$740.00 filing fee for a large entity, \$84.00 for 1 independent claim over 3 for a large entity, and \$40.00 for the Recordation fee for recording the assignment.
- 4) Executed 2-page Declaration; and
- 5) Executed Assignment document, and Recordation Form Cover Sheet.

The Director is hereby authorized to charge payment of any additional fees associated with this or any other communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,



David D. Stein
Registration No. 40,828

Dated: OCTOBER 2, 2001

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